

(1713/1)

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| <b>TRANSMITTAL OF INFORMATION DISCLOSURE<br/>STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)</b> |   |                                 | <b>Attny. Docket No.</b><br>99B050/2 |
| <b>In Re Application of:</b> George Rodriguez  |   |                                 |                                      |
| <b>U.S. Serial No.</b><br>09/734,296   | <b>Filing Date</b><br>December 11, 2000 | <b>Examiner</b><br>Not Assigned | <b>Group Art Unit</b><br>1713        |

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0524-02  
AS

Address to:  
**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**37 CFR § 1.97(b)**

- ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

**37 CFR § 1.97(c)**

- ☒ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

- ☒ the fee as set forth in § 1.17(p), or  
☐ the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

**37 CFR § 1.97(d)**

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

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Applicant hereby requests consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

☒ Legible copies of the references are enclosed.

☐ This application is a:

☐ Continuation/ Divisional,

☐ Continuation-in-Part,

of U.S.S.N. \_\_\_\_\_. Copies of the cited references are  
☒ enclosed; ☐ not enclosed. References are available in the parent application(s) if not enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 05-1715. A duplicate copy of this form is enclosed.

May 7, 2002

(Date)



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Attorney for Applicants  
Registration No. 43,066

**ExxonMobil Chemical Company**

*Law Technology*

P.O. Box 2149

Baytown, Texas 77522-2149

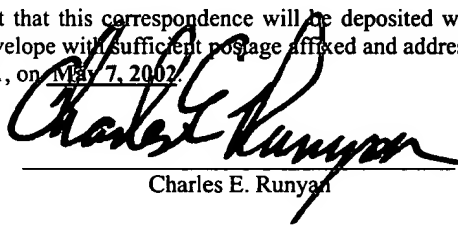
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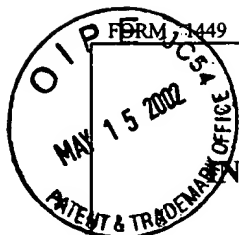
Fax: 281-834-2495

**CERTIFICATE UNDER 37 C.F.R. §1.8(a)**

I hereby certify that I have a reasonable basis to expect that this correspondence will be deposited with the United States Postal Service as ~~Express mail~~ with an RCE in an envelope with sufficient postage affixed and addressed to Commissioner of Patents and Trademarks, Washington, DC. 20231, on May 7, 2002.

*First Class  
mail*

  
Charles E. Runyan



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STATEMENT

ATTY. DOCKET NO.

99B050/2

APPLICANT

George Rodriguez

FILING DATE

December 11, 2000

GROUP

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## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | DATE     | NAME                | CLASS | SUBCLASS | FILING DATE<br>APPROPRIATE |
|----------------------|----|--------------------|----------|---------------------|-------|----------|----------------------------|
|                      | AA | 4,463,135          | 07/31/84 | Maly                | 525   |          |                            |
|                      | AB | 4,543,399          | 09/24/78 | Jenkins, III et al. | 526   |          |                            |
|                      | AC | 4,588,790          | 05/13/86 | Jenkins, III et al. | 526   |          |                            |
|                      | AD | 4,871,705          | 10/03/89 | Hoel                | 502   |          |                            |
|                      | AE | 4,892,851          | 01/09/90 | Ewen et al.         | 502   |          |                            |

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|  |    | DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|----|--------------------|---------------------|---------|-------|----------|-------------|----|
|  |    |                    |                     |         |       |          | YES         | NO |
|  | AF | 0 129 368 B        | 07/26/89            | Europe  |       |          |             |    |
|  | AG | 0 277 004 A        | 08/03/88            | Europe  |       |          |             |    |
|  | AH | 0 418 044 B        | 09/10/97            | Europe  |       |          |             |    |

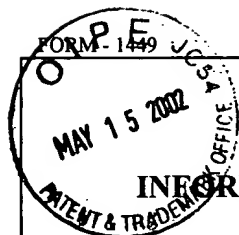
## OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

|  |    |  |
|--|----|--|
|  | AI | <u>J. Am. Chem. Soc., (1994), v. 116 - pp. 6435-6436, Quyoum, et al., "A Carbocationic Olefin Polymerization Initiator Masquerading as a Ziegler-Natta Catalyst"</u> |
|  | AJ | <u>J. of Organometallic Chem., (1989), v.369 - pp. 359-370 - Wiesenfeldt, et al., "ansa-Metallocene Derivatives XVII."</u>   |

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| <b>INFORMATION DISCLOSURE<br/>STATEMENT</b> | ATTY. DOCKET NO.<br><b>99B050/2</b>      | SERIAL NO.<br><b>09/734,296</b> |
|   | APPLICANT<br><br><b>George Rodriguez</b> |                                 |
|   | FILING DATE<br><b>December 11, 2000</b>  | GROUP<br><b>1713</b>            |

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|----------------------|----|--------------------|----------|--------------|-------|----------|----------------------------|
|                      | AK | 4,937,299          | 06/26/90 | Ewen et al.  | 526   |          |                            |
|                      | AL | 5,001,205          | 03/19/91 | Hoel         | 526   |          |                            |
|                      | AM | 5,017,714          | 05/21/91 | Welborn, Jr. | 556   |          |                            |
|                      | AN | 5,028,670          | 07/02/91 | Chinh et al. | 526   |          |                            |
|                      | AO | 5,153,157          | 10/06/92 | 502          |       |          |                            |

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|  |    | DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|----|--------------------|---------------------|---------|-------|----------|-------------|----|
|  |    |                    |                     |         |       |          | YES         | NO |
|  | AP | 0 426 637 B        | 04/05/95            | Europe  |       |          |             |    |
|  | AQ | 0 570 982 B        | 01/02/97            | Europe  |       |          |             |    |
|  | AR | 0 577 581 A        | 01/05/94            | Europe  |       |          |             |    |

## OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

|  |    |   |
|--|----|---|
|  | AS | <u>Organometallics, (1994), v.13 - pp. 954-963 - Spaleck, et al., "The Influence of Aromatic Substituents on the Polymerization Behavior of Bridged Zirconocene Catalysts</u> |
|  | AT | <u>Organometallics, (1994), v.13 - pp. 964-970 - Stehling, et al., "ansa-Zirconocene Polymerization Catalysts with Annelated Ring Ligands. . ."</u>                           |

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|----------------------|----|--------------------|----------|----------------|-------|----------|----------------------------|
|                      | AU | 5,198,401          | 03/30/93 | Turner et al.  | 502   |          |                            |
|                      | AV | 5,241,025          | 08/31/93 | Hlatky et al.  | 526   |          |                            |
|                      | AW | 5,278,119          | 01/11/94 | Turner et al.  | 502   |          |                            |
|                      | AX | 5,278,264          | 01/11/94 | Spaleck et al. | 526   |          |                            |
|                      | AY | 5,288,677          | 02/22/94 | Chung et al.   | 502   |          |                            |

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|  |    | DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|----|--------------------|---------------------|---------|-------|----------|-------------|----|
|  |    |                    |                     |         |       |          | YES         | NO |
|  | AZ | 0 578 838 A        | 01/19/94            | Europe  |       |          |             |    |
|  | BA | 0 591 756 B        | 11/25/98            | Europe  |       |          |             |    |
|  | BB | WO 91/09882        | 07/11/91            | PCT     |       |          |             |    |

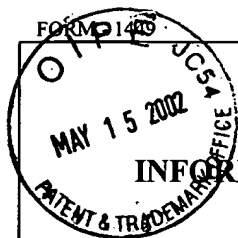
## OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

|  |    |  |
|--|----|--|
|  | BC | <u>Chem. Commun., (1998) pp. 849-850 - Gibson, et al., "Novel Olefin Polymerization Catalysts Based on Iron and Cobalt"</u>  |
|  | BD | <u>Organometallics, (1995), v. 14, pp. 5478-5480 - "Sterically Demanding Diamide Ligands: Synthesis and Structure of <math>d^0</math> Zirconium Alkyl Derivatives"</u> |

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|   | APPLICANT<br><br><b>George Rodriguez</b> |                                 |
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|----------------------|----|--------------------|----------|----------------|-------|----------|----------------------------|
|                      | BE | 5,296,433          | 03/22/94 | Siedle et al   | 502   |          |                            |
|                      | BF | 5,296,434          | 03/22/94 | Karl et al.    | 502   |          |                            |
|                      | BG | 5,304,614          | 04/19/94 | Winter et al.  | 526   |          |                            |
|                      | BH | 5,308,816          | 05/03/94 | Tsutsui et al. | 502   |          |                            |
|                      | BI | 5,312,881          | 05/17/94 | Marks et al.   | 526   |          |                            |

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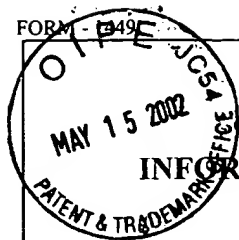
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|  |    |                    |                     |         |       |          | YES         | NO |
|  | BJ | WO 92/00333        | 01/09/92            | PCT     |       |          |             |    |
|  | BK | WO 92/10066        | 06/11/92            | PCT     |       |          |             |    |
|  | BL | WO 93/02099        | 02/04/93            | PCT     |       |          |             |    |

## OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

|  |    |  |
|--|----|--|
|  | BM | <u>Macromolecules</u> , (1996), v.29, pp. 5241-5243 - Scollard, et al., " <i>Polymerization of <math>\alpha</math>-Olefins by Chelating Diamide Complexes of Titanium</i> "    |
|  | BN | <u>Angew. Chem. Int. Ed.</u> , (1999) v. 37 - pp. 428-447 - Gibson, et al., " <i>The Search for New-Generation Olefin Polymerization Catalysts: Life Beyond Metallocenes</i> " |

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|----------------------|----|--------------------|----------|----------------------|-------|----------|----------------------------|
|                      | BO | 5,318,935          | 06/07/94 | Canich et al.        | 502   |          |                            |
|                      | BP | 5,324,800          | 06/28/94 | Welborn, Jr., et al. | 526   |          |                            |
|                      | BQ | 5,352,749          | 10/04/94 | DeChellis et al.     | 526   |          |                            |
|                      | BR | 5,382,638          | 01/17/95 | Bontemps et al.      | 526   |          |                            |
|                      | BS | 5,405,922          | 04/11/95 | DeChellis et al.     | 526   |          |                            |

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|  |    | DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|----|--------------------|---------------------|---------|-------|----------|-------------|----|
|  |    |                    |                     |         |       |          | YES         | NO |
|  | BT | WO 93/11172        | 06/10/93            | PCT     |       |          |             |    |
|  | BU | WO 93/14132        | 07/22/93            | PCT     |       |          |             |    |
|  | BV | WO 93/19103        | 09/30/93            | PCT     |       |          |             |    |

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## U.S. PATENT DOCUMENTS

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|----------------------|----|--------------------|----------|----------------|-------|----------|----------------------------|
|                      | BY | 5,408,017          | 04/18/95 | Turner et al.  | 526   |          |                            |
|                      | BZ | 5,427,991          | 06/27/95 | Turner         | 502   |          |                            |
|                      | CA | 5,436,304          | 07/25/95 | Griffin et al. | 526   |          |                            |
|                      | CB | 5,447,895          | 09/05/95 | Marks et al.   | 502   |          |                            |
|                      | CC | 5,453,471          | 09/26/95 | Bernier et al. | 526   |          |                            |

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|  |    | DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|----|--------------------|---------------------|---------|-------|----------|-------------|----|
|  |    |                    |                     |         |       |          | YES         | NO |
|  | CD | WO 94/01471        | 01/20/94            | PCT     |       |          |             |    |
|  | CE | WO 94/03506        | 02/17/94            | PCT     |       |          |             |    |
|  | CF | WO 95/07941        | 03/23/95            | PCT     |       |          |             |    |

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|----------------------|----|--------------------|----------|-------------------|-------|----------|----------------------------|
|                      | CI | 5,462,999          | 10/31/95 | Griffin et al.    | 526   |          |                            |
|                      | CJ | 5,502,124          | 03/26/96 | Crowther et al.   | 526   |          |                            |
|                      | CK | 5,504,049          | 04/02/96 | Crowther et al.   | 502   |          |                            |
|                      | CL | 5,610,115          | 03/11/97 | Soga et al.       | 502   |          |                            |
|                      | CM | 5,635,573          | 06/03/97 | Harrington et al. | 526   |          |                            |

## FOREIGN PATENT DOCUMENTS

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|--|----|--------------------|---------------------|---------|-------|----------|-------------|----|
|  |    |                    |                     |         |       |          | YES         | NO |
|  | CN | WO 95/07942        | 03/23/95            | PCT     |       |          |             |    |
|  | CO | WO 96/23010        | 08/01/96            | PCT     |       |          |             |    |
|  | CP | WO 96/35726        | 11/14/96            | PCT     |       |          |             |    |

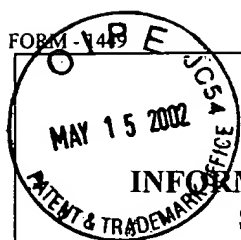
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|----------------------|----|--------------------|----------|----------------|-------|----------|----------------------------|
|                      | CS | 5,668,234          | 09/16/97 | Rhodes et al.  | 526   |          |                            |
|                      | CT | 5,688,634          | 11/18/97 | Mixon et al.   | 430   |          |                            |
|                      | CU | 5,763,556          | 06/09/98 | Shaffer et al. | 526   |          |                            |
|                      | CV | 5,767,208          | 06/16/98 | Turner et al.  | 526   |          |                            |
|                      | CW | 5,851,945          | 12/22/98 | Turner et al.  | 502   |          |                            |

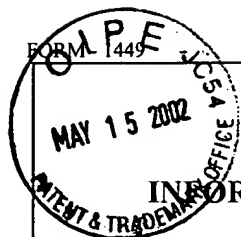
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|  |    |                    |                     |         |       |          | YES         | NO |
|  | CX | WO 96/33227        | 10/24/96            | PCT     |       |          |             |    |
|  | CY | WO 96/40805        | 12/19/96            | PCT     |       |          |             |    |
|  | CZ | WO 97/22635        | 06/26/97            | PCT     |       |          |             |    |
|  | DA | WO 97/22639        | 06/26/97            | PCT     |       |          |             |    |
|  | DB | WO 97/29845        | 08/21/97            | PCT     |       |          |             |    |
|  | DC | WO 97/35893        | 10/02/97            | PCT     |       |          |             |    |

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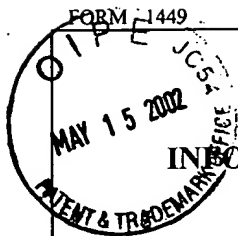
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|----------------------|----|--------------------|----------|----------------|-------|----------|----------------------------|
|                      | DD | 5,895,771          | 04/20/99 | Epstein et al. | 502   |          |                            |
|                      | DE | 5,939,347          | 08/17/99 | Ward et al.    | 502   |          |                            |

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|  |    |                    |                     |         |       |          | YES         | NO |
|  | DF | WO 97/48735        | 12/24/97            | PCT     |       |          |             |    |
|  | DG | WO 98/03558        | 01/29/98            | PCT     |       |          |             |    |
|  | DH | WO 98/37109        | 08/27/98            | PCT     |       |          |             |    |
|  | DI | WO 99/09306        | 02/25/99            | PCT     |       |          |             |    |
|  | DJ | WO 99/30822        | 06/24/99            | PCT     |       |          |             |    |
|  | DK | WO 99/42467        | 08/26/99            | PCT     |       |          |             |    |
|  | DL | WO 99/45042        | 09/10/99            | PCT     |       |          |             |    |
|  | DM | WO 99/43717        | 09/02/99            | PCT     |       |          |             | X  |
|  | DN | WO 99/50311        | 10/07/99            | PCT     |       |          |             |    |
|  | DO | WO 98/55518        | 12/10/98            | PCT     |       |          |             |    |

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|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant



# INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.

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09/734,296

APPLICANT

George Rodriguez

FILING DATE

December 11, 2000

GROUP

1713

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|----|--------------------|---------------------|---------|-------|----------|-------------|----|
|  |    |                    |                     |         |       |          | YES         | NO |
|  | DP | WO 96/40796A       | 12/19/96            | PCT     |       |          |             |    |
|  | DQ | EP 0543022         | 05/26/93            | Europe  |       |          |             |    |
|  |    |                    |                     |         |       |          |             |    |
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|  |    |                    |                     |         |       |          |             |    |
|  |    |                    |                     |         |       |          |             |    |

## OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

|          |    |  |
|----------|----|--|
|          | DR | ABSTRACT - Database CHEMABS Online, Chemical Abstracts Service, Columbus, Ohio for Matsuura, Mitsunobu et al., "Image Formation of Silver Halide Color Photographic Material Containing Color Developer and Image Information Recording", JP 11 316434 A (Konica Co., Japan) November 16, 1999 |
|          | DS | Sammes, Michael P. et al: "Synthetic Applications of N-N linked heterocycles, Part 15. A facile synthesis of 4-pyridyl(aryl)amines via the reaction between 4-chloro-1-pyridiniopyridinium salts and aryl amines", <u>J. Chem. Soc., Perkin Trans. 1</u> (1983), (5), pp. 973-8                |
| EXAMINER |    | DATE CONSIDERED  |

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